```
Network Working Group R. Anderson
```


## Introduction

In NWG/RFC \#80 we mentioned the needs for data reconfiguration along with a complier/executor version of a Form Machine to perform those manipulations.

This note proposes a different approach to the Form Machine. Specifically, we describe a syntax-driven interpreter that operates on a grammar which is an ordered set of replacement rules. Following the interpreter description are some "real-world" examples of required data reconfigurations that must occur between RAND consoles and the Remote Job System on the UCLA 360/91. Lastly, we suggest that the Protocol Manager mentioned in NWG/RFC \#80 can be simplified by using the Form Machine and two system forms (specified a priori in the code).

Caveat: The Form Machine is not intended to be a general purpose programming language. Note the absence of declaration statements, etc.

THE FORM MACHINE
I. Forms

A form is an ordered set of rules.
$F=\{R 1, \ldots, R n\}$
The first rule (R1) is the rule of highest priority; the last rule (Rn) is the rule of lowest priority.

The form machine gets as input: 1) a list of addresses and lengths that delimit the input stream(s); 2) a list of addresses and lengths that delimit the output area(s); 3) a pointer to a list of form(s); 4) a pointer to the starting position of the input stream; and 5) a pointer to the starting position of the output area. The Form Machine applies a form to the input string emitting an output string in the output area. The form is applied in the following manner:

Step 1: R1 is made the current rule.
Step 2: The current rule is applied to the input data.

Step3: a) If the rule fails, the rule of priority one lower is made current.
b) If the rule succeeds, the rule of highest priority is made current
c) When the rule of lowest priority fails, the form fails and application of the form to the input data terminates.

Step 4: Continue at Step 2.

In addition, during Step 2, if the remainder of the input string is insufficient to satisfy a rule, then that rule fails and partial results are not emitted. If a rule fills the output string, application of the form is terminated.
II. Rules

A rule is a replacement operation of the form:
left-hand-side $->$ right-hand-side
Both sides of a rule consists of a series of zero or more _terms_ (see below) separated by commas.

The left-hand-side of the rule is applied to the input string at the current position as a pattern-match operation. If it exactly describes the input, 1) the current input position pointer is advanced over the matched input, 2) the right-hand-side emits data at the current position in the output string, and 3) the current output position pointer is advanced over the emitted data.
III. Terms

A term is a variable that describes the input string to be matched or the output string to be emitted. A term has three formats.

Term Format 1


Any of the fields may be absent.

The _name_ is a symbolic name of the term in the usual programming language sense. It is a single, lower-case alphabetic that is unique within a rule.

The _data type_ describes the kind of data that the term represents. It is a member of the set:

$$
\{D, O, X, A, E, B\}
$$

Data types have the following meanings and implied unit lengths:

| Char. | Meaning | Length |
| :--- | :--- | :--- |
| - ---- | decimal number | 1 bit |
| D | octal number | 3 bits |
| O | hexadecimal number | 4 bits |
| X | ASCII character | 8 bits |
| A | EBCDIC character | 8 bits |
| E | binary number | 1 bit |

The _replication expression_ is a multiplier of the value expression. A replication expression has the formats.

1) an arithmetic expression of the members of the set:
\{v(name), L(name) , numerals, programming variables\}
The $v(n a m e)$ is a value operator that generates a numeric value of the named data type and $L$ (name) is a length operator that generates a numeric value of the named string length.

The programming variable is described under term format three. Arithmetic operators are shown below and have their usual meanings.

$$
\{*, /,+,-\}
$$

or 2) the terminal ' \#' which means an arbitrary multiple of the value expression.

The _value expression_ is the unit value of a term expressed in the format indicated by the data type. The value expression is repeated according to the replication expression. A value expression has the format:

1) same as part 1) of the replication expression where again v(name) produces a numeric value
or 2) a single member of the set
\{v(name), quoted literal\}
where $v$ (name) produces a data type (E or A) value). (Note that concatenation is accomplished through multiple terms.)

The _length expression_ is the length of the field containing the value expression as modified by the replication expression. It has the same formats as a replication expression.

Thus, the term
$x\left(E\left(7 .^{\prime} F^{\prime}\right): L(x)\right)$ is named $x$, is of type EBCDIC, has the value
'FFFFFFF' and is of length 7 .

The term
$y(A: 8)$ on the left-hand-side of a rule would be assigned the next 64 bits of input as its value; on the right-hand-side it would only cause the output pointer to be advanced 64 bit positions because is has no value expression (contents) to generate data in the output area.

Term Format 2


The _label_ is a symbolic reference to a previously named term in the rule. It has the same value as the term by that name.

The identity operation below illustrates the use of the _label_ notation.

$$
a(A: 10) \quad->\text { (a) }
$$

The (a) on the right-hand side causes the term a to be emitted in the output area. It is equivalent to the rule below.

$$
a(A: 10) \quad->\quad(\operatorname{Av}(a): L(a))
$$

Term Format 3


A _programming variable_ is a user-controlled data item that does not explicitly appear in the input/output streams. Its value can be compared to input data, to constants, and used to generate output data. Programming variables are single, lower case Greek symbols.

They are used: to generate indices, counters, etc. in the output area; to compare indices, counters, etc. in the input area, and; to bind replacement rules where the data is context sensitive (explained later).

A _connective_ is a member of the set:

$$
\{<-,=,!=,>=,<=,<,>\}
$$

The left arrow denotes replacement of the left part by the right part; the other connectives are comparators.

The _operand expression_ is an arithmetic expression of members of the set:
\{programming variables, v(name), l(name), numerals\}
For example, if the programming variable [alpha] has the value 0 and the rule
$a(H[a l p h a]: 1) \rightarrow(a),([a l p h a]<-[a l p h a]+1),(H[a l p h a]: 1)$ is applied exhaustively to string of hexadecimal digits
$\begin{array}{llllll}0 & 1 & 2 & 3 & 4 & 5\end{array}$
the output would be the hexadecimal string
$\begin{array}{lllllllllllll}0 & 1 & 1 & 2 & 2 & 3 & 3 & 4 & 4 & 5 & 5 & 6 & .\end{array}$
Note: the above rule is equivalent to
$a(B[a l p h a]: 4) \rightarrow(a),([a l p h a]<-[a l p h a]+1), \quad(B[a l p h a]: 4)$
IV. Restrictions and Interpretations of Term Functions When a rule succeeds output will be generated. In the rule
$a(A: \#),\left(A^{\prime} /{ }^{\prime}: 1\right)->(\operatorname{Ev}(a): 74),\left(E^{\prime} ?^{\prime}: 1\right)$
the input string is searched for an arbitrary number of ASCIIs followed by a terminal '/'. The ASCIIs (a) are converted to EBCDIC in a 74-byte field followed by a terminal '?'. This brings out three issues:

1. Arbitrary length terms must be separated by literals since the data is not type-specific.
2. The \# may only be used on the left-hand-side of a rule.
3. A truncation padding scheme is needed.

The truncation padding scheme is as follows:
a. Character to Character (types: A, E)

Output is left-justified with truncation or padding (with blanks) on the right.
b. Character to Numeric (A, E to D, O, H, B)
c. Numeric to Character (D, O, H, B to A, E)
d. Numeric to Numeric (D, O, H, B)

Output is right-justified with padding or truncation on the left. Padding is zeros if output is numeric.

## EXAMPLES OF SOME DATA RECONFIGURATIONS

The following are examples of replacement rule types for specifically needed applications.

## Literal Insertion

To insert a literal, separate the left-hand-side terms for its insertion on the right.
$a(A: 10), b(A: 70)->(a),\left(E^{\prime} L I T \prime: 3\right),(b)$
The 80 ASCII characters are emitted in the output area with the EBCDIC literal LIT inserted after the first 10 ASCII characters.

Deletion

Terms on the left are separated so that the right side may omit unwanted terms.

$$
(B: 7), a(A: 10)->(E v(a): L(a))
$$

Only the 10 ASCII characters are emitted (as EBCDIC) in the output area, the 7 binary digits are discarded.

Spacing in the Output Buffer

Where a pre-formatted output buffer exists (typically a display buffer) spacing can be realized by omitting the replication and value functions from a term on the right.

$$
a(A: 74)->(E: 6),(\operatorname{Ev}(a): 74)
$$

The (E:6) causes 48 bit positions to be skipped over in the output area, then the 74 ASCII characters are converted to EBCDIC and emitted at the current output position.

Arbitrary Lengths
Some devices/programs generate a variable number of characters per line and it is desirable to produce fixed-length records from them.

$$
a(A: \#) \quad->(E v(a): 74)
$$

The ASCII characters are truncated or padded as required and converted to EBCDIC in a 74 character field.

Transposition
Fields to be transposed should be isolated as terms on the left.
$a(X: 2), b(A: \#)->(E v(b): L(b)),(a)$
String Length Computation

Some formats require the string length as part of the data stream. This can be accomplished by the length function.
$a(E: 10), b\left(X^{\prime} F F^{\prime}: 2\right)->(B L(a)+L(b)+8: 8),(A v(a): L(a)),(b)$
The length term is emitted first, in a 8 bit field. In this case the length includes the length field as well as the ASCII character field.

Expansion and Compression of repeated Symbols
The following rule packs repeated symbols.
$\mathrm{a}(\mathrm{E}: 1), \mathrm{b}\left(\mathrm{E} \# \mathrm{H}_{\mathrm{V}}(\mathrm{a}): \mathrm{L}(\mathrm{b})\right)$-> (BL(b)+1:8),(a)
Given the input string below, three successive applications of the rule will emit the output string shown.

Input: XXXXYYZZZZZZZ
Output: 4X2Y7Z

## APPLICATION OF THE FORM MACHINE TO PROGRAM PROTOCOLS

The Protocol Manager mentioned in NWG/RFC \#80 needs several interesting features that are properties of the above Form Machine.

In certain instances during a protocol dialog it might be acceptable to get either an accept on connection A or an allocation on connect B, that is, the order is sometimes unimportant. The defined procedure for applying rules allows for order independence.

A logger might send us a socket number embedded in a regular message -- the socket number is intended to be the first of a contiguous set of sockets that we can use to establish connections with some program. We wish to extract the socket number field from the regular message, perhaps convert it to another format, and add to it to get the additional socket names. As a result of the regular message we wish to emit several INIT system calls that include the socket numbers that we have computed. The value operator and the arithmetic operators of the Form Machine can do this.

A third property of the Form Machine that is applicable to protocols is inter- and intra-rule binding to resolve context sensitive information. In general we wish rules to be order independent but in certain cases we wish to impose an ordering. Using the logger in NWG/RFC \#66 as an example, the close that is sent by the logger can have two different meanings depending upon its context. If the close is sent before the regular message containing the socket number then it means call refused. If the regular message precedes the close then the call is accepted. Since the close has contextual meaning, we must bind it to the regular message to avoid introducing IF and THEN into the Form Machine language.

Assume for a moment that we can express system calls in Form Machine notation. (The notation below is for _illustration only_ and is not part of the Form Machine language.) We have two ways to bind the regular message to the close. By intra-rule binding we insist that the close be preceded by a regular message.

Reg. Msg , Close ->
Now assume for a moment that the remote party must have an echo after each transmission. Since we must emit an echo after receiving the regular message and before the close is sent, then we must use inter-rule binding. This can be accomplished with the programming variable. It is assigned a value when the regular message is received and the value is tested when the close is received.

Reg. Msg -> Echo , ([lambda]+1)

Close, ([lambda]=1) ->
To illustrate inter-rule binding via the programming variable the connection protocol in NWG/RFC \#66 could be represented by passing the following form to a protocol manager. (The notation below is for _illustration only_ and is not part of the Form Machine language).

1. ->INIT(parameters) , ([alpha]<-0)

Send an INIT(RTS).
2. INIT (parameters) -> ALLOCATE (parameters)

Send an allocate in response to the connection completion (an STR received).
3. Reg. Msg (parameters) -> ([alpha]<-1)

When the messages bearing link numbers is received, set an internal indicator. (The extraction of the link is not illustrated.)
4. CLOSE(parameters),([alpha]=1) -> INIT (parameters), INIT (parameters)

When the close is received following the regular message [2] is checked to see that the regular message was received before establishing the duplex connection. If the close is received with no regular message preceding it (call refused) the form will fail (since no rules is satisfied).

This protocol can be handled via a single form containing four replacement rules. We have examined similar representations for more complex protocol sequences. Such protocol sequences, stored by name, are an asset to the user; he can request a predefined sequence to be executed automatically.

Two System Forms to Handle Protocol Statements
Assume that we have a Protocol Manager that manages protocol sequences between consoles and the Network. The consoles generate and accept EBCDIC character strings and the Network transmits binary digits. The console user has a language similar to system calls in which he can create and store protocol sequences via Protocol Manager, and at the same time he can indicate which commands are expected to be sent and which are to be received. Upon command the Protocol Manager can execute this sequence with the Network, generating commands and validating those received. Assume also that the Protocol Manager displays the dialog for the console user as it progresses.

In order to translate between console and Network for generating, comparing, and displaying commands, the Protocol Manager can use the Form Machine. Two system forms are needed, see Fig. 1. One is a console-to-Network set of rules containing EBCDIC to binary for all legal commands; the other is a mirror image for Network-to-console.

REQUEST

Since language design is not our forte, we would like comments from those with more experience than we.


Figure 1 -- Application of System Form for Protocol Management

```
Alfred Cocanower - MERIT
Gerry Cole - SDC
Les Earnest - Stanford
Bill English - SRI
James Forgie - Lincoln Laboratory
Jennings Computer Center - Case
Nico Haberman - Carnegie-Melon
Robert Kahn - BB&N
Peggy Karp - MITRE
Benita Kirstel - UCLA
Tom Lawrence - RADC/ISIM
James Madden - University of Illinois
George Mealy - Harvard
Thomas O'Sullivan - Raytheon
Larry Roberts - ARPA
Ron Stoughton - UCSB
Albert Vezza- MIT
Barry Wessler - Utah
```

[The original document included non-ASCII characters. The Greek letters Alpha and Lambda have been spelled out and enclosed in square brackets "[ ]". A curly "l" character has been replaced by capital L. Left and right arrows have been replaced by "<-" and "->" respectively. RFC-Editor]
[This RFC was put into machine readable form for entry] [into the online RFC archives by Lorrie Shiota, 10/01]

